

03/28/01
11047 U.S. PTO

PATENT

03-29-01

U.S. Express Mail Label No. ET220251476US
Docket No. PAT004

#2 Fee Authorization
7-KG-01
Ratner

11047 U.S. PTO
09/820072

03/28/01

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of **Wen-Yen Hwang** for his invention entitled **Alternative Substrates for Epitaxial Growth**.

1. Papers enclosed which are required for filing date under 37 CFR 1.53(b):

20	Pages of specification
06	Pages of claims
01	Pages of abstract
05	Sheets of informal drawings
2. Enclosed are the following documents filed in connection with this application:

Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i);
Combined Declaration and Power of Attorney;
Assignment and Assignment Recordation Cover Sheet;
Information Disclosure Citation;
Information Disclosure Statement (PTO-1449);
Cited References; and
Return Postcard.
3. Applicant claims small entity status under 37 CFR 1.27.
4. The application is in the English language.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on March 28, 2001, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **ET220251476US** addressed to Box PATENT APPLICATION, Commissioner for Patents, Washington, D. C. 20231.


Signature

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4. The fee calculation for a regular application is as follows:

CLAIMS AS FILED

	Number Filed				Number Extra		Rate		Basic Fee (37 C.F.R. 1.16(a)) \$ 355.00
Total Claims	38	-	20	=	18	x	\$ 9	=	162.00
Independent Claims	6	-	3	=	3	x	\$40	=	120.00
Multiple Dependent Claims (if any)						+	\$270		
Total Filing Fee									\$ 637.00

5. The Commissioner is hereby authorized to charge Deposit Account No. 50-1705 in the amount of \$ 677.00 to cover fees associated with this application (\$637 for filing fees and \$40 for assignment recordation fee).
6. The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 50-1705.
- 37 CFR 1.16 (filing fees)
37 CFR 1.16 (presentation of extra claims)
37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application).
37 CFR 1.17 (application processing fees)
7. Any overpayment is to be credited to Account No. 50-1705.

Respectfully submitted,

3 2 8 - 0 1

Date



N. Stephan Kinsella
Registration No. 37,657
Applied Optoelectronics, Inc.
13111 Jess Pirtle Blvd.
Sugar Land, Texas 77478
Telephone: (281) 295-1800
Facsimile: (281) 295-1888

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor Wen-Yen Hwang

Title Alternative Substrates for Epitaxial Growth

Atty Docket Number PAT004

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 28, 2001

Date



Signature

N. Stephan Kinsella

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.